

Outstanding Admission Agreements Action Plan: As at 22.02.2017

Appendix D

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
1 Year +	503	Delay in admission body providing information	Delays in admission body providing staffing list	HCC Legal liaising with employer to progress admission agreement & bond	Administering Authority to escalate lack of response from admission body with senior management of outsourcing employer.	May-17
1 Year +	504	Delay in admission body providing information	Delays in admission body providing staffing list	HCC Legal liaising with employer to progress admission agreement & bond	Administering Authority to escalate lack of response from admission body with senior management of outsourcing employer.	May-17
1 Year +	506	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
1 Year +	508	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
1 Year +	534	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
6-12 Months	465	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
1 Year +	493	Delay in admission body securing indemnity/querying form of indemnity	Delays in admission body securing bond	Awaiting confirmation of bond secured by employer	Admission agreement expected to be completed shortly	May-17
1 Year +	509	Delay in admission body securing indemnity/querying form of indemnity	Delays in admission body securing bond	Awaiting confirmation of bond secured by employer	HCC Legal to escalate lack of response from admission body with senior management of outsourcing employer (HCC).	May-17

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
6-12 Months	518	Delay in admission body securing indemnity/querying form of indemnity	Amendments to staffing list provided by admission body, followed by queries from admission body on clauses of bond agreement	HCC Legal liaising with employer to progress admission agreement & bond	Legal to monitor progress and escalate if required	May-17

1 Year +	420	Dispute over conditions of admission	Dispute over conditions of admission	Awaiting legal opinion	Information collated to be passed to Squires for legal opinion in order to confirm HCC position and resolve dispute	TBC
1 Year +	437	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract. Also query around who should act as ceding employer	Indemnity arrangements being agreed between ceding employer and admission body	Bond calculation to be completed and communicated to employer	May-17
1 Year +	472	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract	Employees incorrectly coded originally and now admission agreement to be completed for correct separate service contract	HCC to progress admission agreement by contacting admission body and ceding employer	May-17
1 Year +	452	Dispute over conditions of admission	Legal query on parties to be covered by admission agreement	Awaiting legal opinion	Squires currently drafting report on definitive opinion on eligibility & forming proposal for resolution	May-17
1 Year +	517	Dispute over conditions of admission	Legal query on eligibility of staff to be members of LGPS	Awaiting legal opinion - may not need to be progressed dependent of eligibility of member to be admitted into the LGPS	HCC legal opinion to be provided and communicated to admission body	TBC
6-12	516	Dispute over conditions	Legal query on parties to be covered by admission	HCC Legal liaising with employer to progress	Admission agreement now sent out for signing	Apr-17

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
Months		of admission	agreement & indemnity arrangements	admission agreement & bond		
6-12 Months	521	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
1 Year +	500	Dispute over conditions of admission	Ceding employer did not acknowledge service contract/maintained no awareness of it	All active members have left	Legal awaited on whether to proceed with admission agreement given that all active members have ceased	TBC

0-6 Months	536	N/A	N/A	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
0-6 Months	522	N/A	N/A	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17
0-6 Months	527	N/A	N/A	Indemnity arrangements being agreed between ceding employer and admission body	Await decision from employer/ceding employer - Administering Authority to escalate risks to ceding employer of no current indemnity	Jun-17
0-6 Months	528	N/A	N/A	Indemnity arrangements being agreed between ceding employer and admission body	Await decision from employer/ceding employer - Administering Authority to escalate risks to ceding employer of no current indemnity	Jun-17
0-6 Months	532	N/A	N/A	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation expected March 2017, admission process will then proceed	May-17

**Total: 24 Outstanding Agreements**